

PATENT  
Docket No. 199372003600  
Client Ref. 133055US

MP #3  
V/A

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on May 24, 2002.

*Cheryl Y. Price*  
Cheryl Y. Price

JUN 05 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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In the application of:

TOSHIMA

Serial No. 10/036,787

Filing Date: October 19, 2001

For: METHOD AND APPARATUS OF  
PROCESSING SURFACE OF  
SUBSTRATE

Examiner: Not Assigned

Group Art Unit: Not Assigned

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under  
37 C.F.R. § 1.53(f) dated February 27, 2002, attached please find:

- Petition for Extension of Time
- Submission of Certified Copy of Priority Document
- Submission of Formal Drawings (13 sheets)

07/17/2002 MTEKLEM 00000011 031952 370387R. § 1.17(k).

01 FC:139

130.00 CH

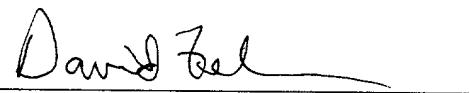
The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**, referencing docket no. 199372003600. A duplicate copy of Form PTO/SB/17 is attached.

Respectfully submitted,

MORRISON & FOERSTER, L.L.P.

Date: May 24, 2002

By:



David L. Fehrman  
Registration No. 28,600  
Attorney for Applicant(s)

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**PATENT**  
Docket No. 199372003600  
Client Reference 133055US

#4

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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*Cheryl Y. Price*  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

TOSHIMA

Serial No.: 10/036,787

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For: **METHOD AND APPARATUS OF  
PROCESSING SURFACE OF  
SUBSTRATE**

Examiner: Not Assigned

Group Art Unit: Not Assigned

**SUBMISSION OF FORMAL DRAWINGS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed are 13 sheets of formal drawings in connection with the above-identified application.

Respectfully submitted,

Dated: May 24, 2002

By:

*David L. Fehrman*  
David L. Fehrman  
Registration No. 28,600

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Suite 3500  
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D E C L A R A T I O N



In the matter of U.S. Patent Application Ser. No. 10/036,787 in the name of Takayuki Toshima et al.

I, Shigeru TAKEBAYASHI, of Kyowa Patent and Law Office, 2-3, Marunouchi 3-Chome, Chiyoda-Ku, Tokyo-To, Japan, declare and say:

that I am thoroughly conversant with both the Japanese and English languages; and,

that the attached document represents a true English translation of United States Patent Application Serial No. 10/036,787 filed on October 19, 2001.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: May 20, 2002

  
Shigeru TAKEBAYASHI



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,787	10/19/2001	Takayuki Toshima	199372003600

David L. Fehrman  
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35th Floor  
555 W. 5th Street  
Los Angeles, CA 90013-1024



CONFIRMATION NO. 5431

FORMALITIES LETTER



\*OC000000007545762\*

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

06/07/2002 5MINASS1 00000044 031552 1003678

01 FC:105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 130.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

